ANTHOCYANIDINS AND RELATED COMPOUNDS—XVI THE DIMERIZATION OF FLAVYLIUM SALTS IN AQUEOUS SOLUTIONS

L. Jurd

Western Regional Research Laboratory, Agricultural Research Service. U.S. Department of Agriculture, Berkeley, California, 94710

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Abstract—7-Hydroxyflavylium salts dimerize in aqueous acetic acid solutions to form benzopyrylium salts substituted at position 4 with the corresponding dihydrochalcone nucleus. It is proposed that this dimerization involves initial condensation of the flavylium salt and its carbinol base to give a product which then undergoes a series of intermolecular hydride ion transfer reactions. It is also suggested that flavones are formed as by-products in these flavylium dimenzation reactions.

SINCE 1965^{1-3} an increasing variety ⁴⁻⁸ of colorless bisflavanoids have been detected in plant extracts. Many of these compounds are formed as a result of flavan-4-ol or flavan-3,4-diol condensation reactions,⁹ and contain two or more flavanoid nuclei linked by C---C or C---O bonds¹⁰ from the 4-position of one nucleus. Dracorubin, a highly coloured anhydro base present in the resin of the palm, *Dracaena draco*, represents an unusual type of natural bisflavanoid. It has been¹¹ assigned structure **1a** or **1b**, and with acids forms orange-red dracorubylium salts (**2a** or **2b**). Dracorubin has not yet been synthesized,¹² although it has been suggested that dracorubin-type



compounds may be formed biosynthetically by oxidation of 5, 7-dihydroxyflavans,¹³ or by oxidative condensations of 7-hydroxy-8 (or 6)-methylflavylium salts¹⁴ or their anhydro bases, e.g., 3, with phenolic flavans, e.g., 4. The mechanistic feasibility of this proposal is supported by reports that model flavylium salts condense with

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polyphenols,¹⁵ catechin,¹⁶ and other nucleophiles in aqueous media to yield, as initial products, 4-substituted flav-2-enes of type 5.

In attempting to extend these condensation studies to the synthesis of dracorubintype compounds, however, we have unexpectedly found that 7-hydroxy-8 (and 6)methylflavylium salts undergo self-condensation in aqueous media to yield dimeric pigments, which are spectrally and visually similar to the monomeric flavylium salts. This recognition of facile flavylium self-condensation could be significant in two respects, viz. (1) it would suggest that similar dimers might occur naturally in plant extracts containing these or related flavylium salts, and (2) it has recently been



FIG 1. Spectrum in EtOH containing 0.5% HCl of (A) 7-hydroxy-8-methylflavylium chloride (6) $(1.088) \times 10^{-2}$ g/l.) and (B) its dimer (11) $(2.108 \times 10^{-2}$ g/l.)

established that on long standing in some aqueous media, e.g., as in the aging of red wines,¹⁷ anthocyanins are slowly replaced by tannin-like red pigments, which retain the colour and spectral characteristics of the original monomeric anthocyanins.

The reaction sequence involved in anthocyanin polymerization is obscure. However, hydride ion transfer reactions, which appear to be involved in the flavylium self-condensation reaction under discussion, could provide a rational basis for further understanding of anthocyanin polymerization.



. FIG 2. 100 MHz spectrum of 9 in CDCl3: TMS as internal reference

Thus, solutions of 7-hydroxy-8-methylflavylium chloride (6) in aqueous AcOH at room temperature slowly deposit a highly coloured, crystalline product. About 60 to 80% conversion to the new product occurs in periods of 10 to 20 days. On paper chromatograms in aqueous HCl media the product has slightly lower R_f values than 6, and it shows a dull, orange fluorescence under UV light in contrast to the bright, yellow fluorescence shown by 6. Elemental analyses of the crystalline chloride and perchlorate of the product established its molecular formula as $C_{32}H_{27}O_5X$ (X=Cl or ClO₄). The visible and UV spectrum of the chloride (Fig. 1, B) is similar to that of the flavylium chloride 6 in ethanolic HCl solutions, except that the absorbance at its λ_{max} (443 nm) is only about one-half that of 6 for solutions of the same concentration (in g/l). On the basis of these data the reaction product contains the intact flavylium nucleus of 6, substituted by a $C_{16}H_{13}O_3$ residue.

Treated with Ac_2O and a base catalyst the flavylium dimer yields a crystalline, cream-coloured diacetate, $C_{36}H_{30}O_7$. This diacetate has a λ_{max} at 331 nm, suggesting the presence of an unsaturated chromophoric grouping such as occurs in a chalcone. Furthermore, chromatograms of the diacetate instantly become bright yellow-orange on exposure to HCl vapors, a property which is typical of a flav-2-ene. Comparison of the 100 MHz NMR spectrum in CDCl₃ of this diacetate with the spectra of 7-acetoxy-8-methylflav-2-ene (7b) and trans 2',4'-diacetoxy-3'-methylchalcone (8b) confirms the presence of both the flav-2-ene and the chalcone nuclei, and indicates structure 9 for the dimer acetylation product.



7-Acetoxy-8-methylflav-2-ene was prepared by acetylation of the phenolic flav-2ene (7a) from NaBH₄ reduction of 6. The 100 MHz NMR spectrum of 7b in CDCl₃ shows the following proton assignments: benzylic methyl, 3H, $\delta 2 \cdot 16$ (s); acetoxyl methyl, 3H, $\delta 2 \cdot 27$ (s); C₄ methylene, 2H, $\delta 3 \cdot 49$ (d, $J = 4 \cdot 0$ Hz); C₃ methine proton, 1H, $\delta 5 \cdot 46$ (t, $J = 4 \cdot 0$ Hz). The aromatic protons at C₅ and C₆ appear as orthocoupled doublets ($J = 9 \cdot 0$ Hz) at $\delta 6 \cdot 65$ and $\delta 6 \cdot 86$, and the phenyl ring protons give a multiplet at $\delta 7 \cdot 18 - \delta 7 \cdot 70$.

Trans 2',4'-diacetoxy-3'-methylchalcone was prepared by acetylation of the phenolic chalcone (8a), formed by alkaline hydrolysis of 6. The 100 MHz spectrum of 8b in CDCl₃ shows the following proton assignments: benzylic methyl, 3H, $\delta 2.00$ (s); acetoxyl methyls, 3H, $\delta 2.30$ (s) and 3H, $\delta 2.36$ (s); the ethylenic H_B proton, $\delta 7.44$ (d, J = 16.0 Hz); the ethylenic H_A proton, $\delta 7.77$ (d, J = 16.0 Hz). The phenyl ring protons at C₂ and C₆, adjacent to the carbonyl group, appear downfield as a 2H multiplet at $\delta 7.93 - \delta 8.05$, while the remaining phenyl ring protons appear as a multiplet at $\delta 7.05 - \delta 7.66$. The aromatic protons at C₅, and C₆, give ortho-coupled doublets (J = 9.0 Hz) at $\delta 7.02$ and $\delta 7.62$, respectively.

In the 100 MHz spectrum of diacetate 9 the protons of the two acetoxyl methyl groups appear as 3H singlets at $\delta 2.32$ and $\delta 2.34$ (Fig 2). The benzylic methyl groups



at C₃ and C₁₇ appear as 3H singlets at $\delta 2.02$ and $\delta 2.18$, these chemical shifts being in close accord with the chemical shifts of the benzylic methyl protons in **8b** ($\delta 2.00$) and **7b** ($\delta 2.16$). The presence of the C₂₂ methylene group and the C₂₃ methine proton is confirmed by the 2H doublet at $\delta 3.52$ (J = 7.0 Hz) coupled to a 1H triplet at $\delta 5.64$ (J = 7.0 Hz). The larger coupling constant between the C₂₂ and C₂₃ protons, compared with the coupling constant between the C₃ and C₄ protons in **7b**, is probably due to steric stabilization of the flav-2-ene unit into one favoured conformation in the dimer acetate. The ethylenic proton at C₈ appears as a sharp, 1H singlet at $\delta 6.39$. The upfield shift of this proton, relative to the corresponding H_B proton in the chalcone **8b**, is a result of the expected shielding by the ethereal oxygen at C₇.

The NMR spectrum of the dimer acetate establishes the presence of 14 aromatic protons. Structures of type 10, in which the chalcone and flavene nuclei are C---C linked and which might be anticipated on the basis of previous^{15, 16} flavylium salt-polyphenol condensation studies, contain only 13 aromatic protons, and, therefore, can be excluded. Additional evidence against structure of type 10 is provided by the pronounced upfield shift of the ethylenic proton at C₈, and by the fact that acetylation of the flavylium dimer gave only a diacetate,²² rather than the triacetate which would be expected on the basis of formula 10. Because of the multiplicity of signals



in the aromatic region of the spectrum of the dimer acetate their assignment to specific protons is, in general, not certain. However, in accord with structure 9, the C_{11} and C_{15} protons adjacent to the carbonyl group appear downfield as a well-defined 2H multiplet at $\delta 8.07 - \delta 8.17$. The splitting pattern of this 2H multiplet is

identical with that of the 2H multiplet given by the C_2 and C_6 protons of **8b**. The remaining 8 phenyl ring protons of the dimer acetate appear as a multiplet at $\delta 7\cdot 15-\delta 7\cdot 66$. Two doublets at $\delta 6\cdot 76$ ($J = 9\cdot 0$ Hz) and $\delta 6\cdot 95$ ($J = 9\cdot 0$ Hz) may be assigned to the protons at C_5 and C_{20} . The protons at C_6 and C_{19} , to which the C_5 and C_{20} protons are *ortho*-coupled, appear in the region $\delta 7\cdot 15-\delta 7\cdot 66$ and are obscured by the phenyl ring proton signals. Models indicate that the paramagnetic shift of the proton at C_{19} , as compared with the chemical shift of the corresponding C_5 proton in **7b**, is due to deshielding by the carbonyl group at C_9 .

Acetylation of flavylium salts often yields acetates of the corresponding 2'-hydroxychalcones.¹⁸ Furthermore, flav-2-enes are easily hydrolyzed in aqueous AcOH to dihydrochalcones.¹⁶ On the basis of these two observations, the molecular formula and properties of the flavylium self-condensation product are accounted for by structure 11. Formation of the diacetate 9 from 11 involves ring opening of the flavylium nucleus to form the acetylated chalcone unit, and ring closure by dehydration of the dihydrochalcone nucleus to form the flav-2-ene unit. The validity of this structural assignment is confirmed by determination of the NMR spectrum of the flavylium dimer in trifluoroacetic acid. The two methylene groups of the dihydrochalcone unit appear as coupled 2H triplets (J = 6.0 Hz) at $\delta 3.24$ and $\delta 3.62$. The benzylic methyls appear as 3H singlets at $\delta 2.08$ and $\delta 2.74$, while the remaining 17 protons form a multiplet at $\delta 6.96-\delta 8.12$.

Like 6, the isomeric 7-hydroxy-6-methylflavylium chloride 12 dimerizes in aqueous AcOH to yield the crystalline pigment 13a. Structure of this product was confirmed by the appearance of the two methylene triplets at $\delta 3.24$ and $\delta 3.63$ in the NMR spectrum in TFA, and by acetylation to give the crystalline diacetate 14. The 100 MHz spectrum of 14 in CDCl₃ showed the presence of the same structural features as in 9. As expected, however, the two *ortho*-coupled protons at $\delta 6.76$ and $\delta 6.95$ in 9, appear as *para*-coupled singlets at $\delta 6.82$ and $\delta 6.95$ in the spectrum of 14. 7-Hydroxyflavylium chloride dimerizes very readily in dilute aqueous AcOH. Since





the NMR spectrum of this product in TFA shows the present of two methylene groups, it is assigned the analogous structure 13b. A crystalline acetate, however, could not be prepared from this dimer for structural verification.

The reaction sequence leading to flavylium dimerization can be rationalized on the basis of the established equilibrium reactions of flavylium salts in aqueous solutions and the known susceptibility of 4-substituted flav-2-enes to oxidation¹⁶ by flavylium salts. The latter oxidation-reduction reaction occurs in aqueous acid media and involves intermolecular hydride ion transfer from the flav-2-ene to a flavylium nucleus, e.g., $15 + 16 \rightarrow 17 + 18$.



In aqueous media at pH 2-pH 6, 7-hydroxyflavylium salts 19 form complex equilibrium mixtures with their corresponding anhydro bases, carbinol bases 20 and 2'-hydroxychalcones.¹⁹ The flavylium dimerization process may be accounted for by initial nucleophilic attack of the 7-hydroxyl of the carbinol base 20 on the flavylium cation 19 or its anhydro base to give $21 \Rightarrow 22$ (reaction A, Fig 3).

The cationic form 22 of the initial condensation product may then undergo an intramolecular hydride ion transfer to give 23, which would give the isolated flavylium dimer 13b on acid hydrolysis of the flav-2-ene ring. It is considered more probable, however, that the conversion of 21 or 22 into 23 is a multi-step process involving a series of intermolecular hydride ion shifts. The first of these involves hydride ion transfer from the 4- substituted flav-2-ene 21 to its cationic form 22 to yield 24 and 25 (reaction B).

Hydride ion transfer from 25 to a flavylium receptor then gives 23, which is subsequently hydrolyzed to the flavylium dimer 13b. The flavylium receptor may be the initial cationic condensation product 22 (reaction C), and/or the original monomeric flavylium salt 19 (reaction D). It will be noted that in reaction C 25 is regenerated from 22, thereby allowing continual repetition of the reaction, at a rate independent of the rate of reaction B, until conversion to 23, and subsequently 13b, is complete.

In reaction D with the flavylium salt 19 as receptor, hydride ion transfer from 25

yields 23 and the monomeric flav-2-ene 26. Reaction D is analogous to that which has been shown¹⁶ to occur in flavylium-catechin condensations. The flav-2-ene 26 formed in this reaction would be expected to condense with a second molecule of flavylium salt 19 and thereby regenerate 25. The net result of the reaction sequence D is repeated conversion of the flavylium salt 19 to the dimer 13b.

It has previously been reported²⁰ that solutions of some flavylium salts yield small quantities of the corresponding flavones on standing. In accord with this, flavones have been isolated or detected chromatographically as minor products in the dimerization reactions under discussion, and also from aqueous AcOH and MeOH solutions of a number of other flavylium salts. The mechanism of flavone formation is obscure, although it is commonly believed to be due to aerial oxidation of the flavylium salts. It is now suggested, however, that flavones are by-products of the intermolecular hydride ion transfer reactions involved in flavylium dimerization. Thus compound 24, which is formed in the slow reaction B (Fig 3), is not involved in the subsequent flavylium dimerization processes. Hydration of 24 in aqueous acid media would be expected to lead to its decomposition to the flavone 27 and flavylium salt 19: The



flavone-precursor 24 may also be formed by a secondary reaction involving hydride ion transfer from the initial flavylium condensation product 21 to the flavylium salt 19.



EXPERIMENTAL

7-Hydroxy-8-methylflavylium chloride (6). A solution of 2,4-dihydroxy-3-methylbenzaldehyde (12·0 g) and acetophenone (9·6 g) in EtOAc (50 ml) and EtOH (15 ml) was cooled in an ice-bath, saturated with HCl gas and kept for 24 hr at 0°. Ether (200 ml) was added and the orange crystals were collected (19·0 g). The crude flavylium salt (25 g) was purified by dissolving it in hot AcOH (150 ml) and H₂O (450 ml) (norite). The chloride crystallized on adding conc HCl (150 ml). Recrystallized from AcOH—10% aqueous HCl, 7-hydroxy-8-methylflavylium chloride separated as orange needles, m.p. 244-245° (lit.²¹ m.p. 127°); λ_{max} 448 (4·29), 376 (4·01), 299 (3·52), 267 (4·19) nm (Log E) ETOH-0·5% HCl), R_f 0·88 (H₂O-AcOH-conc. HCl, 80:40:5 V/V). (Found: C, 70·4; H, 4·83. Calc. for C₁₆H₁₃O₂Cl: C, 70·5; H, 4·80%).

The perchlorate of 6, prepared by adding excess of 10% aqueous HClO₄ to a solution of the chloride in AcOH, crystallized from glacial AcOH as orange coloured plates, m.p. 238° (lit.²¹ m.p. 187°). (Found: C, 57·2; H, 3·90. Calc. for $C_{16}H_{13}O_6$ Cl: 57·0; H, 3·89%).

Dimerization of 7-hydroxy-8-methylflavylium chloride. A solution of 7-hydroxy-8-methylflavylium chloride (5.0 g) in warm glacial AcOH (50 ml) and H₂O (100 ml) was kept at room temperature for two weeks. Highly coloured crystals separated. After addition of 10%HClaq (50 ml) the product was collected and digested successively with MeOH (30 ml) and ether (100 ml). The orange-coloured, crystalline residue (3.7 g) was recrystallized from a large volume of MeOH containing two drops of conc. HCl. The chloride of the flavylium dimer (11) was thereby obtained as orange-coloured needles, m.p. 272-273°; λ_{max} 443 (4.26), 374 (4.02), 300 (3.76), 268 (4.30) nm (Log E) (EtOH-0.5% HCl), R_f 0.78 (water-AcOH-conc. HCl, 80:20:5 V/V). (Found: C, 72.7; H, 5.21. Calc. for C₃₂H₂₇O₅Cl: C, 72.9; H, 5.17%).

The perchlorate of 11 was prepared by addition of excess 10% HClO₄aq to a solution of the chloride (0.5 g) in warm AcOH (10 ml). It crystallized from glacial AcOH as orange-coloured needles, m.p. 265°. (Found: C, 64.9; H, 4.58. Calc. for $C_{32}H_{27}O_9Cl: C, 65.0$; H, 4.61%).

Acetylation of flavylium dimer (11). A suspension of 11 chloride (0.50 g) in Ac₂O (2.5 ml) and pyridine (0.5 ml) was heated on a steam-bath for 10 min. Addition of water precipitated an oily acetate which crystallized on warming with MeOH. Recrystallized from acetone—MeOH the diacetate (9) separated as cream-coloured needles, m.p. 138° (0.40 g), λ_{max} 331, 276, 238 nm (EtOH). (Found: C, 75.2; H, 5.26; CH₃CO—, 22.3. Calc. for C₃₆H₃₀O₇: C, 75.2; H, 5.26: 3 CH₃CO—, *22.5%). On silica acid TLC this acetate migrates as a single, blue-fluorescent species, R_f 0.93 (ether), 0.61 (ether-Skellysolve F, 2:1 V/V), 0.30 (EtOAc-Skellysolve F, 1:4 V/V). Hydrolysis of the acetate in AcOH-HClaq regenerated 11 chloride.

7-Hydroxy-8-methylflav-2-ene (7a). NaBH₄ (2·0 g) was added rapidly to a cooled suspension of 7-hydroxy-8-methylflavylium chloride (4·0 g) in MeOH (20 ml). After 3 min 1% NaHSO₃ aq (100 ml) was added. The solid product crystallized from aqueous MeOH. 7-Hydroxy-8-methylflav-2-ene separated as pink-coloured needles, m.p. 128° to a dark red liquid. (Found: C, 80·7; H, 5·98. Calc. for $C_{16}H_{14}O_2$: C, 80·6; H, 5·92%).

The acetate (7b) of the above product crystallized from MeOH as colourless needles, m.p. 103° . (Found : C, 77·0; H, 5·82; CH₃CO--, 15·2. Calc. for C₁₈H₁₆O₃: C, 77·1; H, 5·75; 1 CH₃CO--, 15·3%).

trans 2',4'-Dihydroxy-3'-methylchalcone (8a). A solution of 7-hydroxy-8- methyl-flavylium chloride (20 g) in MeOH (20 ml) and 5% of NaOH (40 ml) was warmed for 5 min, cooled, and acidified with glacial AcOH (10 ml). A red impurity in the solid product was removed by filtering a solution of the crude product in ether through silicic acid. Addition of excess of Skellysolve F to the filtrate precipitated the chalcone as compact yellow-brown crystals (1.75 g). Recrystallized from acetone-benzene trans-2',4'-dihydroxy-3'-methylchalcone separated as glistening yellow plates, m.p. 156-159°.. (Found : C, 75.6; H, 5.57. Calc. for $C_{16}H_{14}O_3: C, 75.6; H, 5.55\%$).

The diacetate (8b), prepared by acetylation of the chalcone with Ac₂O in pyridine, crystallized from MeOH as glistening, yellow needles, m.p. 122-123°. (Found: C, 71·1; H, 5·35: CH₃CO--, 25·6. Calc. for $C_{20}H_{18}O_5$: C, 71·0; H, 5·36; 2 CH₃CO--, 25·4%).

7-Hydroxy-6-methylflavylium chloride (12). A solution of 2,4-dihydroxy-5-methyl-benzaldehyde (60 g) and acetophenone (4.8 g) in EtOAc (30 ml) and EtOH (80 ml) was saturated with HCl gas and kept at 0° overnight. The solid product crystallized from AcOH-10% HClaq to give 7-hydroxy-6-methylflavylium chloride as yellow orange, soft needles, m.p. 167° (11-5 g), R_f 0.78 (H₂O-AcOH-conc. HCl, 80:20:5 V/V).

The perchlorate of 12 crystallized from glacial AcOH as yellow needles, m.p. 238°. (Found: C, 57.0; H, 3.95. Calc. for $C_{16}H_{13}O_6Cl: C, 57.0;$ H, 3.89%).

Dimerization of 7-hydroxy-6-methylflavylium chloride. A solution of 12 chloride (20 g) in AcOH (10 ml) and H₂O (20 ml) was allowed to stand for 2 weeks at room temperature. After addition of 10% HClaq (20 ml) the crystalline precipitate (1.04 g) was recrystallized from methanolic HCl to give the chloride of the dimer (13a) as orange-coloured needles, m.p. 280°, R_f 0.63 (H₂O-AcOH-conc. HCl, 80:20:5 V/V). (Found: C, 72.9; H, 5.24. Calc. for C₃₂H₂₇O₃Cl: C, 72.9; H, 5.17%).

The perchlorate of 13a crystallized from AcOH-5% HClO₄ aq as yellow needles, m.p. 243-244°. (Found : C, 650; H, 463. Calc. for $C_{32}H_{27}O_9Cl$: C, 650; H, 461%).

Acetylation of the chloride of 13a as previously described gave the diacetate (14) as cream-coloured needles EX acetone-MeOH, m.p. 161-162°. (Found: C, 75·0; H, 5·22; CH₃CO--, 22·7. Calc. for C₃₆H₃₀O₇: C, 75·2; H, 5·26; 3 CH₃CO--, * 22·5%). 100 MHz NMR spectrum in CDCl₃: 3H, $\delta 2$ ·15 (s); 3H, $\delta 2$ ·18 (s); 3H, $\delta 2$ ·31 (s); 3H, $\delta 2$ ·33 (s); 2H, $\delta 3$ ·54 (d, J = 7·0 Hz); 1H, $\delta 5$ ·65 (t, J = 7·0 Hz); 1H, $\delta 6$ ·42 (s); 1H, $\delta 6$ ·82 (s); 1H, $\delta 6$ ·95 (s): 10H, $\delta 7$ ·18- $\delta 7$ ·66 (m); 2H, $\delta 8$ ·04- $\delta 8$ ·17 (m).

Dimerization of 7-hydroxyflavylium chloride. A solution of 7-hydroxyflavylium chloride (5.0 g) in AcOH (25 ml) and H_2O (50 ml) was kept for 3 weeks at room temperature. 10% HClaq (200 ml) was added, the crystalline solid was collected and digested with boiling EtOAc. The undissolved residue (4.3 g) was recrystallized from a large volume of methanolic HCl to give the *chloride* of 13b as orange needles, m.p. 252°, $R_f 0.71$ (water-AcOH-conc. HCl, 80:20:5 V/V). (Found: C, 72.1; H, 4.75. Calc. for $C_{30}H_{23}O_5Cl$: C, 72.2; H, 4.65%).

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* Integration of the NMR spectrum of this acetate (9), as well as that (14) from the dimer (13a), shows unequivocally the presence of only two acetate groups in these acetates. The third acetate unit indicated by elemental analysis must arise from a nuclear decomposition during saponification

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